

Section 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Cynthia L. Adams et al.

Application No.: 09/311,890

Filed: May 14, 1999

For: A DATABASE SYSTEM FOR
PREDICTIVE CELLULAR
BIOINFORMATICS

Examiner: Unassigned

Art Unit: 2771

POWER TO INSPECT & MAKE COPIES
UNDER 37 C.F.R. § 1.14(a)

3

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants' undersigned attorney hereby grants to Annette Masiello or Rayline Petitt of Annette Masiello Patent and Trademark Services, 2001 Jefferson Davis Highway, Suite 1011, Arlington, VA 22202, the power to inspect and make copies of the above-identified patent application file.

Respectfully submitted,

Paul A. Durdik
Reg. No. 37,819

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (650) 326-2400
Fax: (650) 326-2422
PAD:cmm



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/311,890 | 05/14/99 | SABRY | 19681-1 |

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TWO EMBARCADERO CENTER EIGHTH FLOOR
SAN FRANCISCO CA 94111

0242/06.16



NOT ASSIGNED

2771

DATE MAILED:

06/16/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

No Filing Date

(Enclosure to Form PTO-1123)

The required items noted below SHOULD be filed along with any items required on the "Notice of Incomplete Application." If the concurrent filing of all required items is not possible, the items noted below must be filed no later than TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-5 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 65.00 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27 will also be required (37 CFR 1.16(e)).

If all required items on this form and on the "Notice of Incomplete Application" are filed together, the total amount owed by applicant as a ☐ large entity ☒ small entity is \$ 0.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing.

- ☒ does not cover the items required on "Notice of Incomplete Application."

- ☐ does not identify the application to which it applies.

- ☐ does not include the post office address and the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Receipt Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.

- ☐ by a person other than the inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration: _____
An oath or declaration signed by the omitted inventor(s), identifying this application by above Application Number and Receipt Date, is required.

- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. The application does not comply with the Sequence Rules. See attached "Notice To Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 8. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center

Initial Patent Examination Division (703) 308-1202



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/311,890 | 05/14/99 | SABRY | J 19681-2 |

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SAN FRANCISCO CA 94111



NOT ASSIGNED

2771

DATE MAILED:

06/16/99

NOTICE OF INCOMPLETE APPLICATION

A filing date has NOT been assigned to the above identified application papers for the reason(s) indicated below.

All of the items noted below, unless otherwise indicated, must be submitted within **TWO MONTHS** of the date of this Notice, or the application may be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$130.00 handling fee. (37 CFR 1.53(c)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the items required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of the Assistant Commissioner for Patents accompanied by the \$130.00 petition fee. (37 CFR 1.17(i)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

☐ 1. The specification (description and claims):

☐ a. is missing.

☐ b. does not include a written description of the invention.

☐ c. does not include at least one claim as prescribed by 35 U.S.C. 112.

A complete specification (including at least one claim in a nonprovisional application) as prescribed by 35 U.S.C. 112 is required.

☒ 2. The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented."

Applicant should reconsider whether drawings are necessary under 35 U. S. C. 113 (first sentence).

☐ 3. The inventor's name(s) is missing.

The full names of all inventors are required in compliance with 37 CFR 1.41.

☐ 4. OTHER:

Additional observations on the above-identified application papers:

☐ 1. Pages _____ of the specification are missing.

☐ 2. Figures _____ described in the specification are missing.

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

Enclosed:

- ☐ "General Information Concerning Patents." See page _____
- ☐ "Notice to File Missing Parts of Application," Form PTO-1532.
- ☐ "Checklist for Patent Applications."
- ☐ Other:

A copy of this notice MUST be returned with the response.

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Patent Examination Division (703) 308-1202



PATENT APPLICATION
FILING ACKNOWLEDGMENT
Express Mail No. EL140092745US

Mailing Date: 5/14/99

File No.: 19681-2

Attorney: PAD:cmm

Inventor(s): James H. Sabry et al.

Title: A Database System for Predictive Cellular Bioinformatics

Declaration Power of Attorney

X Combined Declaration & Power of Attorney

X Assignment X Small Entity Decl.



No. Pages of Description: 47

No. Pages of Title sheet 1

No. Pages of Claims 4

No. Pages of Abstract 1

No. Sheets of Drawings 29

X Application Transmittal (3);
X Information Disclosure Statemen
X PTO 1499 form + refs (9)
X Return Postcard
X Recordation Cover Sheet

Please stamp the date of receipt of the enclosed documents and return this card to addressee